

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
GERALD LADSTÄTTER, ET AL.) : Examiner: NOT YET KNOWN
Application No.: NOT YET ASSIGNED) : TC/Art Unit: NYK
Filed: HEREWITH) :
For: OPTICAL ELEMENT HAVING)
TOTAL REFLECTION) : November 17, 2003

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO-1449. Copies of the listed documents are also enclosed.

Applicants submit herewith a copy of an International Preliminary Examination Report (INTERNATIONALER VORLÄUFIGER PRÜFUNGSBERICHT) issued in parent International Application PCT/EP02/05491. An English language translation of the International Preliminary Examination Report is not presently available, however, an English language translation of the Annexes of the International Preliminary Examination Report is attached..

Applicants also submit herewith for the Examiner's convenience, a copy of the published version of the parent application, namely International Publication No. WO 02/095290A1,

dated November 28, 2002, including an International Search Report (INTERNATIONALER RECHERCHENBERICHT), which issued in the parent International Application PCT/EP02/05491 on August 22, 2002. An English language translation of the International Search Report is also attached.

Also submitted herewith is a copy of Form PTO-1449, which lists the references cited in the International Search Report. In addition, copies of each of the references cited in that Form are submitted herewith. The purported relevance of each cited reference in the International Search Report is indicated under the heading "Category" in the International Search Report.

Also for the concise statement of relevance of the non-English documents listed on the Form PTO-1449, with the exception of German documents No. 196 22 670 A1 and No. 199 23 225 A1, the Examiner is respectfully referred to the corresponding English Abstracts attached thereto.

Further for the concise statement of relevance of German document DE 199 23 255 A1, the Examiner is referred to page 2, paragraph [0003] of Applicants' patent application.

U.S. Patent No. 6,523,980 is being cited because it is a U.S. counterpart of German document No. 199 23 225 A1.

It is respectfully requested that this information be considered by the Examiner in connection with this application, and that these references be made of record and that a copy of the enclosed Form PTO-1449 be returned indicating that such information has been considered.

Applicants' undersigned attorney may be reached in our New York office by telephone at (212) 218-2100. All correspondence should continue to be directed to our below listed address.

Respectfully submitted,



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00366.000186.

APPLICATION NO.

NOT YET ASSIGNED

APPLICANT

GERALD LADSTÄTTER, ET AL.

FILING DATE

HEREWITH

GROUP

NYA

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		3 712 713	01/23/73	APPLEDORN, R.H.	350	276	08/10/70
		4 519 675	05/28/85	BAR-YONAH, Y.	350	259	03/29/83
		5 839 823	11/24/98	HOU, ET AL.	362	327	03/26/96
		5 880 886	03/09/99	MILNER, P.J.	359	599	11/03/95
		6 092 904	07/25/00	TAI, CHEN-YU	362	31	07/24/97
		6 169 594	01/02/01	AYE, ET AL.	349	196	08/24/98
		6 523 980	02/25/03	SEJKORA, ET AL.	362	339	01/19/01

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT
	EP	0800035 A1	10/08/97	EPO			ABSTRACT
	DE	19622670 A1	12/11/97	GERMANY			NO
	DE	19637199 C2	03/26/98	GERMANY			ABSTRACT
	DE	19923225 A1	11/23/00	GERMANY			NO

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.